



Attorney's Docket No.: 09857-031001

#13/C  
(~~13~~)  
11/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.

Art Unit : 3627

Serial No. : 09/401,892

Examiner : B. Jaketic

Filed : September 23, 1999

Title : LOCKED/CROSSED QUOTE HANDLING

**MAIL STOP AF**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF MAY 28, 2003

Please amend the above-identified application as follows:

**RECEIVED**

**NOV 04 2003**

**GROUP 3600**

Entry Approved  
*[Signature]*  
4/22/04

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 27, 2003  
Date of Deposit

Susan R. Jones  
Signature

Susan R. Jones  
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